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Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra



**Permanent Mission of Switzerland to the OSCE,  
the United Nations and the International  
Organizations**

*Permanent Mission of the Republic of  
Serbia to the OSCE and other  
International Organizations in Vienna*

To the Ambassadors of the OSCE delegations

Kyiv, 5 December 2013

Excellencies,

We have the honour to inform you that the Ministers of Foreign Affairs of Ukraine, Switzerland and Serbia presented the roadmap on the Helsinki +40 process, enclosed herewith, during today's informal Ministerial Luncheon at the 20<sup>th</sup> OSCE Ministerial Council in Kyiv. This roadmap will guide our discussions during 2014 and 2015.

As announced in the letter by the Chairmanship of 23 October 2013 (CIO.GAL/150/13), Ukraine, Switzerland and Serbia appointed Helsinki +40 coordinators. Please find attached the list.

Please accept the assurances of our highest consideration.

The Chair of the  
Permanent Council  
and Permanent Representative  
of Ukraine

Ihor Prokopchuk

The Permanent Representative  
of Switzerland

Thomas Greminger

The Permanent Representative  
of Serbia

Vuk Zugić

Encl.

## **Helsinki+ 40 Process: A Roadmap towards 2015**

Ukraine, Switzerland and Serbia as the consecutive OSCE Chairmanships in the years of 2013 – 2015

*Recalling* the decision on the OSCE Helsinki+40 process taken at the Dublin Ministerial Council in December 2012 that calls on them “to pursue the Helsinki+40 process on the basis of a co-ordinated strategic approach, adding a multi-year perspective and continuity to participating States’ work towards a security community”,

*Mindful* of the need to design the process to provide strong and continuous political impetus in advancing towards a security community on the way to 2015 and that 2014 will be decisive in this regard,

*Aiming* at better structuring the Helsinki +40 process in terms of modalities, scope of discussions and possible outcomes,

*Relying* on the political will and the commitment of all the OSCE participating States and on their acknowledgement of common responsibility for the progress within the Helsinki +40 process as a necessary prerequisite for ultimate success,

have together agreed on the following “Helsinki +40 Roadmap Towards 2015”:

### **Overall Goals**

- To reaffirm and to move closer to the vision of a free, democratic, common and indivisible Euro-Atlantic and Eurasian security community stretching from Vancouver to Vladivostok, rooted in agreed principles, shared commitments and common goals, as expressed at the Astana Summit;
- To maximize the OSCE’s role as the world’s largest and most inclusive regional security organization under Chapter VIII of the UN Charter;
- To contribute to enhancing trust and confidence, to progress in solving protracted conflicts in the OSCE area and to promote reconciliation among participating States, thus striving for equal and indivisible security for all participating States;
- To enhance the visibility and effectiveness of the OSCE as a unique platform for cooperation, comprehensive dialogue and transparency, as well as a framework for common action to efficiently meet the challenges of the 21<sup>st</sup> century and increase the security of the OSCE area.
- To achieve tangible progress in the implementation of the OSCE commitments in all three dimensions.

### **Process design**

- The purpose is to pursue a transparent, inclusive and result-oriented process to shape and implement concrete, consensus-based proposals and to further build on propositions and ideas collected *inter alia* via the Corfu Process, the discussions leading up to the Astana Summit, and in post-Astana discussions, as well as the Security Days, and Track 2 initiatives.
- Regular meetings of the informal Helsinki +40 Working Group (IHWG) at the level of permanent representatives remain the main format of Helsinki +40 discussions.
- The consecutive Chairmanships will appoint Special Coordinators to move discussions in the thematic areas forward, collect inputs from interested participating States, the executive structures of the OSCE, relevant international organizations, civil society and think tanks, and produce perception papers/recommendations for the Chairmanship to be further discussed at the IHWG follow-up meetings.
- Once it sees a sufficient level of convergence or possibilities for implementation, the Chairmanship will submit relevant proposals to the OSCE bodies. These concrete steps will constitute significant building blocks towards 2015.
- Periodically, the Chairmanship will convene reinforced Permanent Council meetings or informal meetings of senior officials, including outside of Vienna, to engage the capitals and bring high-level attention, engagement and impetus to the process.
- The intention is to start developing a strategic document to be adopted in 2015 that takes note of the progress achieved by 2015, provides guidance for the Organization’s future work, and makes the OSCE fit for purpose to address current and future threats, challenges and opportunities. Depending on the progress of the discussions, holding a high-level meeting could be considered.

### **Possible Areas for Discussion and Potential Outcomes**

## 1. To foster military transparency by revitalizing and modernizing conventional arms control and CSBM regimes

### **Objectives:**

- Explore ways of further cooperation on a modernized conventional arms control regime;
- Enhance military stability, predictability and transparency by further updating the CSBM regime, modernizing the Vienna Document and strengthening other relevant politico-military instruments.

### **Potential Results:**

- A broad and all-inclusive dialogue on the future of the conventional arms control regime is initiated, with the aim to eventually launch negotiations.
- Overcoming of current impasses and modernizing and strengthening the implementation of instruments in the political-military dimension.

## 2. To further enhance OSCE capacities in addressing transnational threats (TNTs)

### **Objectives:**

- Full implementation of all OSCE mandates in the area of transnational threats, in particular enhanced cooperation in developing CBMs in cyber/ICT security and the further operationalization of MC.DEC. 4/12;
- Providing the OSCE with adequate resources to strengthen its operational capacities;
- Develop more operational multilateral cooperation with other relevant international organizations in addressing TNTs, on the basis of the 1999 Platform for Co-operative Security, particularly in the fields of border security, police training, and other areas where the OSCE has demonstrated expertise and value-added.

### **Potential Results**

- The OSCE contributes more effectively to common efforts in the TNT field (with specific expertise and added value).
- Ensuring that the OSCE has the necessary tools and resources to effectively assist participating States in countering TNTs, *inter alia* via the OSCE's field activities.

## 3. To further strengthen OSCE capacities across the conflict cycle

### **Objectives:**

- Enhance the effectiveness of the OSCE in contributing to conflict prevention, crisis response, conflict resolution and post-conflict rehabilitation, and strengthen OSCE peace-enhancement tools;
- Strengthen OSCE mediation support capacity;
- Strengthen the capacities of the OSCE to support efforts aimed at reconciliation throughout the conflict cycle;
- Enhance the OSCE's abilities to assist in the development and implementation of confidence-building measures;
- Enhance cooperation with civil society across the conflict cycle.

### **Potential Results**

- The OSCE is responsive in times of crisis and benefits from an effective and operational toolbox to address challenges across the conflict cycle.
- The OSCE's credibility in its efforts across the conflict-cycle is strengthened.

#### 4. To strive for tangible progress towards the settlement of the protracted conflicts in a peaceful and negotiated manner

##### **Objectives:**

- Consider all means to foster dialogue and confidence building with a view to achieving visible progress in resolving existing conflicts in the OSCE area in a peaceful and negotiated manner;
- Improve the situation of the population affected by conflict;
- Enhance the cooperation among international actors dealing with protracted conflicts;
- Strengthen the engagement of civil society and use track 2 discussions for the conflict resolution and reconciliation processes.

##### **Potential Results**

- The political will to engage in the settlement of protracted conflicts is enhanced.
- The population affected by conflicts actively supports the need for a peaceful conflict resolution.
- Confidence building measures take effect and the security on the ground is visibly improved.

#### 5. To enhance the strategic orientation of the economic and environmental dimension

##### **Objectives:**

- Strengthen the OSCE toolbox to enable participating States to use the Organization more effectively to respond to economic and environmental threats to security, thus further operationalizing the Maastricht Strategy 2003;
- Ensure the mainstreaming of good governance principles in all OSCE activities;
- Take further advantage of the possibilities for confidence-building in the economic and environmental dimension;
- Initiate a dialogue on economic integration processes in the OSCE area.

##### **Potential results:**

- The OSCE becomes a more effective, efficient and focused forum for dialogue and co-operation among participating States and relevant international organizations in the OSCE area in the economic and environmental dimension.

#### 6. To strengthen the human dimension

##### **Objectives:**

- Further improve national implementation of human dimension commitments and sharing of best practices, and update human dimension commitments for the 21<sup>st</sup> century;
- Strengthen the OSCE's institutions, including by promoting a close dialogue between them and the participating States;
- Strengthen links with civil society, youth, think tanks and media, and promote their active involvement in helping participating States to implement human dimension commitments;
- Further explore the OSCE's role in promoting mutual respect, understanding and co-operative relations among nations and across cultures and societies.

##### **Potential Results**

- The implementation of commitments is improved by strengthening the dialogue between participating States, the executive structures and civil society. The spirit of dialogue between participating States is strengthened by promoting an even-handed and constructive approach.
- The capacities of the institutions, including financial, are strengthened in order to enable them to fulfill their mandates and to provide solid capacity-building to the participating States requesting it.

#### 7. To enhance the effectiveness and efficiency of the OSCE

**Objectives:**

- Improve the effectiveness of the consultative and consensus building processes, including through:
  - Reviewing the working methods and practices of the OSCE;
  - Reviewing the respective roles of the Chairmanship, Secretariat and Institutions;
  - Enhancing and modernizing instruments for monitoring the implementation of all the OSCE commitments;
  - Enhancing the effectiveness of OSCE activities on the ground, including by strengthening the capacities of the field missions and by promoting regional activities;
  - Improving interaction and practical cooperation between the Chairmanship, the FSC Chairmanships, the Secretariat, the Institutions, and the field presences.
  - Considering opportunities for further engagement of the OSCE Parliamentary Assembly;
  - Developing an appropriate legal framework of the OSCE;
  - Further advancing gender mainstreaming within the OSCE;
  - Ensuring that the OSCE is provided with sufficient resources to carry out the mandates entrusted by participating States to the executive structures, especially by promoting multi-annual planning.

**Potential Results**

- The OSCE's working methods are streamlined and made more effective.
- A constructive "spirit of dialogue" takes hold in the OSCE area.
- The planning and evaluation of activities of the OSCE are strengthened and thus the activities become more effective.
- The OSCE develops a multi-year budget perspective that enables greater strategic planning, and a more effective use of resources.

**8. To increase interaction with the Partners for Co-operation and with international and regional organizations working in similar fields****Objectives:**

- Further enhance the dialogue and cooperation with the Mediterranean and Asian Partners for Co-operation;
- Further enhance and operationalize cooperation with other international organizations, in particular on the basis of the 1999 Platform for Co-operative Security.

**Potential Results**

- The OSCE strengthens goal-oriented cooperation with its Partners for Co-operation.
- Enhanced OSCE contribution to the stability in Afghanistan and the wider neighboring region.
- The OSCE strengthens relations with other regional and international organizations thus maximizing its role as the most inclusive regional security organization under Chapter VIII of the UN Charter.

## Helsinki +40 Process: A Roadmap towards 2015

### Helsinki +40 Coordinators

<b>Coordinator</b>	<b>Area of Discussion</b>
Ambassador Rüdiger Lüdeking	To foster military transparency by revitalizing and modernizing conventional arms control and CSBM regimes
Ambassador Isabelle Poupart	To further enhance OSCE capacities in addressing transnational threats (TNTs)
Ambassador Tacan Ildem	To further strengthen OSCE capacities across the conflict cycle
Ambassador Katja Pehrman	To strive for tangible progress towards the settlement of the protracted conflicts in a peaceful and negotiated manner
Ambassador Ismatullo Nasredinov	To enhance the strategic orientation of the economic and environmental dimension
Ambassador Christian Strohal	To strengthen the human dimension
Ambassador Philip McDonagh	To enhance the effectiveness and efficiency of the OSCE
Ambassador Gunaajav Batjargal	To increase interaction with the partners for cooperation and with international and regional organizations working in similar fields